

Docket No. 259189US90PCT



JPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Motoo ASAI, et al.

SERIAL NO: 10/509,899

GAU: 2633

FILED: June 29, 2005

EXAMINER:

FOR: SUBSTRATE FOR MOUNTING IC CHIP, MANUFACTURING METHOD OF SUBSTRATE FOR MOUNTING IC CHIP, DEVICE FOR OPTICAL COMMUNICATION, AND MANUFACTURING METHOD OF DEVICE FOR OPTICAL COMMUNICATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references some of which are cited in the attached two Japanese Office Actions and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available unedited computer generated English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLOM, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Masayasu Mori

Registration No. 47,301

Joseph Scafetta, Jr.

Registration No. 26,803

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Docket No. 259189US90PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Motoo ASAI, et al.

SERIAL NO: 10/509,899

GAU: 2633

FILED: June 29, 2005

EXAMINER:

FOR: SUBSTRATE FOR MOUNTING IC CHIP, MANUFACTURING METHOD OF SUBSTRATE FOR MOUNTING IC CHIP, DEVICE FOR OPTICAL COMMUNICATION, AND MANUFACTURING METHOD OF DEVICE FOR OPTICAL COMMUNICATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references some of which are cited in the attached two Japanese Office Actions and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available unedited computer generated English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Masayasu Mori
Registration No. 47,301


Joseph Scafetta, Jr.
Registration No. 26,803

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.J./

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO 259189US90PCT		SERIAL NO 10/509,899	
LIST OF REFERENCES CITED BY APPLICANT 				APPLICANT Motoo ASAI, et al.		GROUP 2093 2814	
				FILING DATE June 29, 2005			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/B.J./	AA	2002-270860	09/20/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AB	2002-236228	08/23/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AC	2002-236229	08/23/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AD	2002-277664	09/25/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AE	2002-237684	08/23/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AE	2002-250830	09/06/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AG	2004-004426	01/08/2004	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AH	2004-004427	01/08/2004	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AI	2003-215372	07/30/2003	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AJ	2003-046256	02/14/2003	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AK	2003-046021	02/14/2003	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AE	2003-046061	02/14/2003	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AM	2003-101080	04/04/2003	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AN	2003-110245	04/11/2003	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AD	2002-296435	10/09/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AB	2002-289911	10/09/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AD	2004-004428	01/08/2004	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AR	2002-329891	11/15/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AB	05-055633	03/05/1993	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AT	2002-331532	11/15/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
/B.J./	AU	2002-120230	04/23/2002	Japan (Submitting only English Abstract and unedited computer generated English translation)	X		
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AV						
	AW						
					<input checked="" type="checkbox"/> Additional References sheet(s) attached		
Examiner	/Bilgis Jahan/				Date Considered 06/12/2008		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 509; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.J./

Form PTO 1449 (Modification)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 259189US90PCT		SERIAL NO. 10/509,899	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Motoo ASAI, et al.		GROUP 2693 2814	
FILING DATE June 29, 2005				U.S. PATENT DOCUMENTS			

OIPE
OCT 12 2005
PATENT & TRADEMARK OFFICE

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		AA					
		AB					
		AC					
		AD					
		AF					
		AF					
		AC					
		AH					
		AI					
		AJ					
		AK					
		AL					
		AM					

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
					YES	NO	
/B.J./	AN	11-287926	10/19/1999	Japan (with English Abstract and unedited Computer generated English translation)	X		
/B.J./	AO	11-12465	01/19/1999	Japan (with English Abstract and unedited Computer generated English translation)	X		
/B.J./	AP	6-281831	10/07/1994	Japan (with English Abstract and unedited Computer generated English translation)	X		
/B.J./	AO	6-132516	05/13/1994	Japan (with English Abstract and unedited Computer generated English translation)	X		
/B.J./	AR	6-69490	03/11/1994	Japan (with English Abstract and unedited Computer generated English translation)	X		
/B.J./	AS	2000-332301	11/30/2000	Japan (with English Abstract and unedited Computer generated English translation)	X		
/B.J./	AT	2000-299562	10/24/2000	Japan (with English Abstract)			X
/B.J./	AU	1 194 025 A1	04/03/2002	Europe			
/B.J./	AV	8-330686	12/13/1996	Japan (with English Abstract and unedited Computer generated English translation)	X		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						

☐ Additional References sheet(s) attached

Examiner /Bilgis Jahan/	Date Considered 06/12/2008
-------------------------	----------------------------

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.J./